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 Ft. Washington, PA 19034-3208
 (215) 646-2400
 (800) 646-2401 Toll Free
 (215) 646-4275 FAX
 sales@universalbonds.com

ERISA BOND APPLICATION

This application should NOT be used for labor union ERISA plans (class code 697)

Legal plan name: _____

Address: _____

Plan Assets: \$ _____ **Attach a copy of the plan assets**

Bond Amount: \$ _____ The bond amount must be 10% of the total plan assets

Effective Date: ____/____/____ Number of trustees: _____*

Nature of sponsor business: _____

Prior carrier: _____

Loss(es) incurred: \$ _____ for the past three years

Pricing for AL, AR, CT, DC, DE, FL, GA, IN, IA, KY, LA, ME, MD, MA, MS, NE, NH, NJ, NY, NC, OH, PA, RI, SC, TN, TX, VT, VA AND WV

Limit	3 Year Pre-Paid Premium**	3 Year Pre-paid premium with ERISASHIELD***
Up to \$265,000	\$270	\$297
\$275,000	\$275	\$303
\$300,000	\$286	\$315
\$350,000	\$305	\$336
\$400,000	\$324	\$356
\$450,000	\$343	\$377
\$500,000	\$365	\$402

*The above premiums are for plans with 5 or fewer trustees/fiduciaries

**Annual premium is available. Divide the amount indicated by 2.7 to determine the annual premium.

***ERISASHIELD automatically increases the limit to ensure regulatory compliance.

Completed by: _____

In addition to a completed application, please forward:

- 1) **IRS form 5500**
- 2) **A current copy of the plan assets**

Do you have fiduciary liability insurance? It is not a requirement of ERISA; however, this coverage is recommended for individuals with fiduciary responsibilities, because their personal assets are at stake. If you are interested in this coverage, please check one of the following:

___ Yes, please send me an application via FAX @ (____) _____ OR e-mail @ _____

___ No, I am not interested. Initial please: _____

If you **are** currently covered by fiduciary liability insurance, please provide the following information:

Current Insurer: _____

Limit: \$ _____ Number of plan participants: _____ Policy anniversary date: _____



Universal Service Agency, Inc.
501 Office Center Drive, Suite 128, Ft. Washington, PA 19034-3208
215-646-2400 800-646-2401 (phone) / 215-646-4275 (fax)
sales@universalbonds.com www.universalbonds.com

Your signature on this consent form and completion of the attached application constitutes permission for Universal Service Agency, Inc. to obtain consumer information which will be used to determine bonding eligibility. **This information will be held in the strictest confidence.**

Applicant's signature Print applicant's name Date

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